

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of : Docket No. TUC920030152US1
Bui, et al. : Group Art Unit:
Serial No.: : Examiner:
Filed:

For: **COMPENSATION FOR TAPE BREAKAGE IN A TAPE DRIVE**

**INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION
(37 CFR 1.97(b))**

Commissioner of Patents
P.O. 1450
Alexandria, VA 22313

Dear Sir:

Pursuant to Section 707.05(b) of the MPEP and 37 CFR 1.97 and 1.98, a copy is herewith submitted (attached to form PTO-1449, Rev. 7-80) of documents which may be relevant to the invention as claimed in the above-identified application.

It is requested that the Examiner fully consider the documents cited, initial the leftmost column of the attached PTO Form 1449 adjacent each cited document, and return a copy of the Form 1449 for applicants' records. It is further requested that the documents be cited on the cover of any patent issuing from the subject application.

The citation of the above documents should not be construed as a representation that an exhaustive search has been made, or that other, more pertinent information is not available. Nor does this statement constitute an admission by applicant or applicants' attorney that the information provided herein is necessarily relevant information to applicants' invention. Moreover, applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references.

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 CFR 1.97(b).

Respectfully submitted,
Bui, et al.

By: Allen K. Bates 1-23-04
Allen K. Bates (#50,276)
Patent Agent for Applicant
IBM Corporation, IP Law
9000 South Rita Road (90A/9032-1)
Tucson, AZ 85744
Telephone: (520) 799-4326

Form PTO-1449

Dock t No.: TUC920030152US1

Application: No:

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use Several Sheets If Necessary)

Applicant: Bui, et al.

Filing Date :

Group Art Unit:

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1A	2003/0016465	7/17/2001	Bui et al.			
	1B	3732477	3/3/1969	Ciccatelli, Rodolfo			
	1C	6188536	6/26/1998	Chliwnyi			
	1D	5808824	12/06/1995	Kaniwa et al.			
	1	5600504	11/30/1994	Seo			
	1F	10/223967	8/20/2002	Bui et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country of Patent Office	Class	Subclass	Translation Yes / No
	2A	JP04-076845	3/11/1992	Takehara Hideaki.			No
	2B	JP63-191343A	8/8/1988	Takeda Kunio			
	2C	JP52-145209	12/3/1997	Kon Tsuneyoshi			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial		
	3A	
	3B	
	3C	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.